

Arithmetic circuits: a chasm at depth three

Pritish Kamath



Based on joint work with

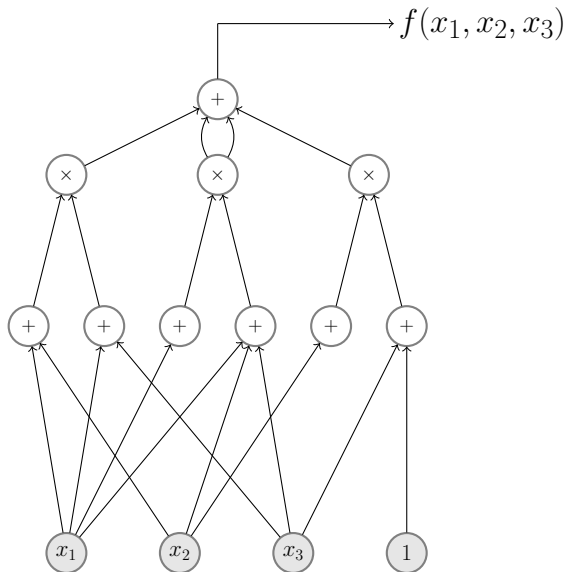
Ankit
Gupta

Neeraj
Kayal

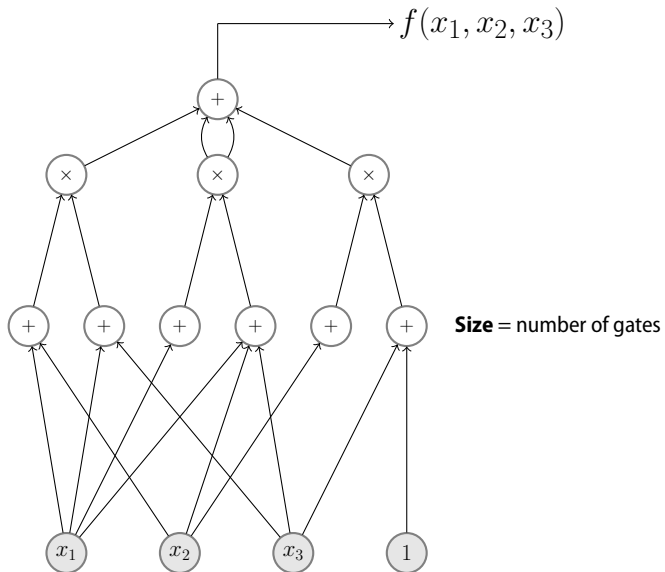
Ramprasad
Saptharishi

FOCS - 2013
UC Berkeley

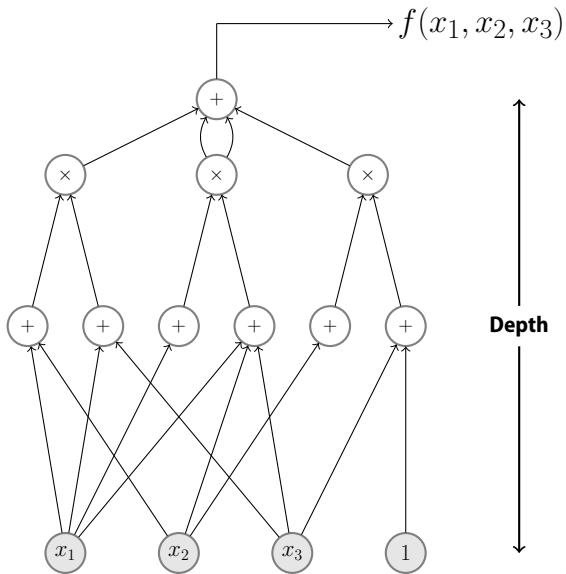
Arithmetic Circuits



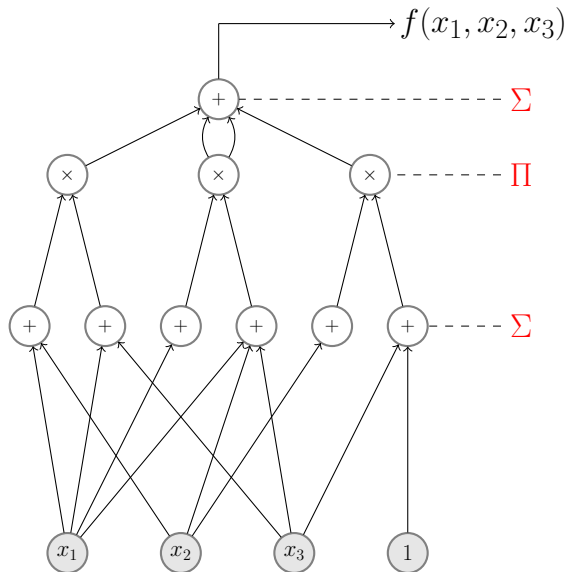
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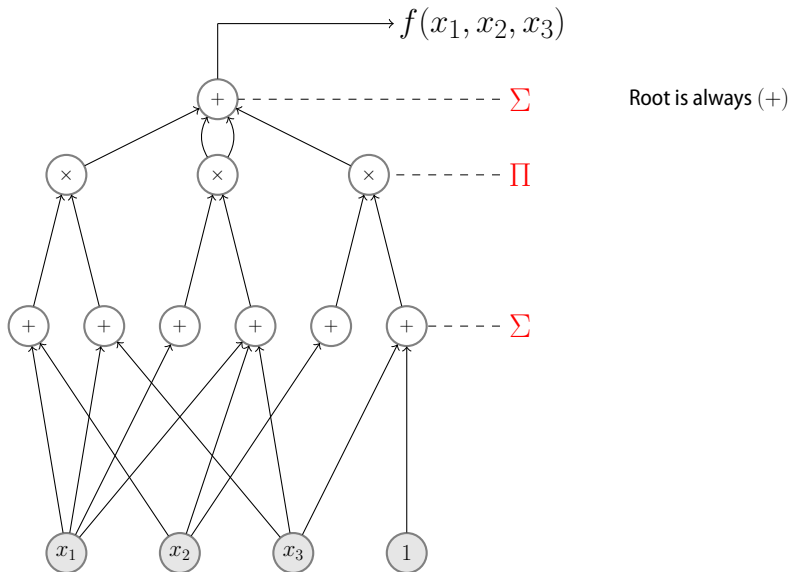
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An algebraic analogue of “P vs NP”

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$$\text{VP} \stackrel{\text{def}}{=} \left\{ \begin{array}{l} P(x_1, \dots, x_n) : \text{poly}(n) \text{ degree} \\ \text{computable by poly}(n)\text{-sized circuits} \end{array} \right\}$$

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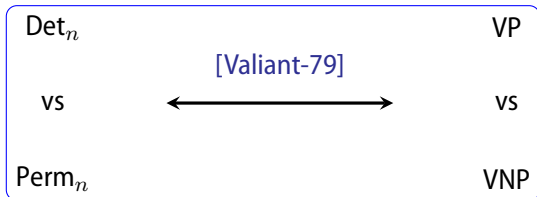
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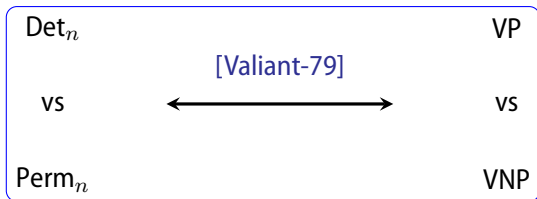
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An algebraic analogue of "P vs NP"

$$\text{Det}_n \quad \text{Det} \left(\begin{bmatrix} x_{11} & \dots & x_{1n} \\ \vdots & \ddots & \vdots \\ x_{n1} & \dots & x_{nn} \end{bmatrix} \right)$$

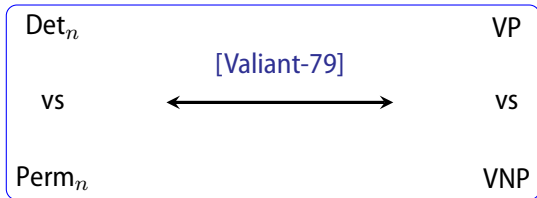
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An algebraic analogue of “P vs NP”

“The determinant of this conjecture will be permanently famous...”

- Neeraj Kayal



Known lower bounds (before 2012)

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General circuits	$\Omega(n \log n)$	[Baur-Strassen-83]
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<i>Homogeneous</i> Depth-3 circuits	$2^{\Omega(n)}$	[Nisan-Wigderson-97]
Depth-3 circuits over finite fields	$2^{\Omega(n)}$	[Grigoriev-Karpinski-98]

Known lower bounds (before 2012)

Model	Lower bound	
<i>Multilinear</i> formula	$n^{\Omega(\log n)}$	[Raz-09]
Constant depth, <i>multilinear</i> formula	$2^{\tilde{\Omega}(n^{1/d})}$	[Raz-Yehudayoff-09]
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Summary: No lower bounds known beyond depth-3, unless other restrictions are imposed.

Depth reduction

Reduction to \log^2 -depth

Theorem ([Valiant-Skyum-Berkowitz-Rackoff-83])

Arithmetic circuits

of "small" size



Log²-depth circuits

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Arithmetic circuits
of $\text{poly}(n, d)$ size

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$$\text{VP} = \text{VNC}^2$$

Reduction to depth-4

Theorem ([Agrawal-Vinay-08, Koiran-12, Tavenas-13])

Arithmetic circuits

of “small” size



Depth-4 circuits

of “not-too-large” size

Reduction to depth-4

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Depth-4 circuits

of $n^{O(\sqrt{d})}$ size

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And last year ...

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Lower bound on size
of **depth-4 circuits***

[Gupta-K.-Kayal-Saptharishi] Perm_d (or Det_d)

$2^{\Omega(\sqrt{d})}$

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Escalator at depth-4

Theorem ([Agrawal-Vinay-08, Koiran-12, Tavenas-13])

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Squashing it further

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Is a similar depth-reduction possible to depth-3?

But...

Lower bound

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No depth-3 circuit for determinant of size $2^{O(n)}$ was known.

The permanent however has *Ryser's formula* of size $2^{O(n)}$.

$$\text{Perm}_n = \sum_{S \in [n]} (-1)^{n-|S|} \prod_{i=1}^n \sum_{j \in S} x_{ij}$$

... it's true!

Theorem ([Gupta-K.-Kayal-Saptharishi])

Arithmetic circuits

over \mathbb{Q}
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Depth-3 circuits

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Corollary

A depth-3 circuit for Det_d of size $d^{O(\sqrt{d})}$ over \mathbb{Q} .

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Note: Resulting depth-3 circuit is *heavily non-homogeneous*, with degrees going up to $n^{O(\sqrt{d})}$.

Reduction to Depth-3 Circuits

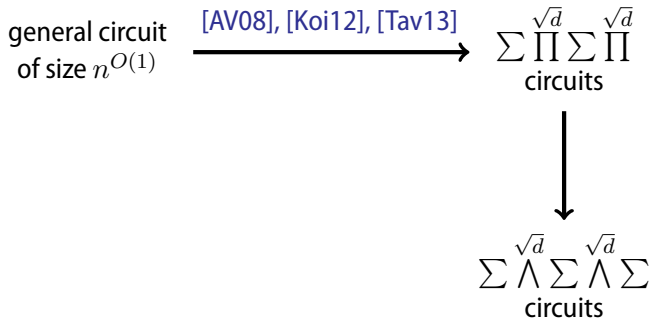
Road map

general circuit
of size $n^{O(1)}$

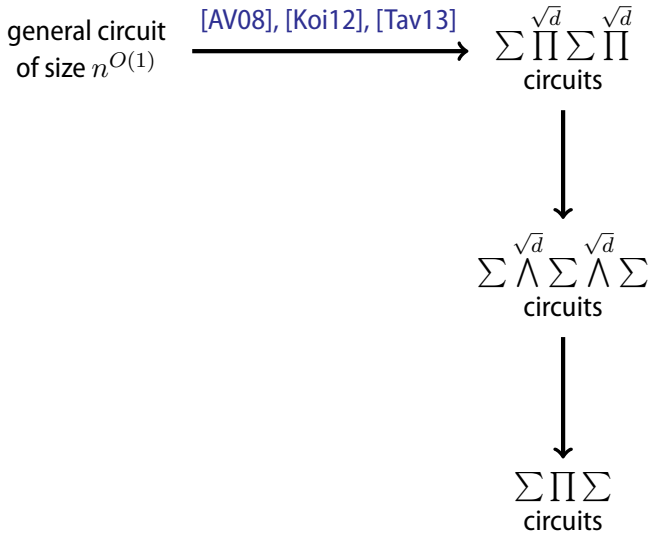
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general circuit
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[Fischer]'s lemma



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Only over \mathbb{Q}, \mathbb{R} etc.

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where, $\deg(Q_{ij}) \leq \sqrt{d}$

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Turns out: $\sum \overset{\sqrt{d}}{\wedge} \Sigma \overset{\sqrt{d}}{\prod}$ is as powerful as $\sum \overset{\sqrt{d}}{\prod} \Sigma \overset{\sqrt{d}}{\prod}$

Step 1: $\Sigma\Pi\Sigma\Pi \longrightarrow \Sigma\wedge\Sigma\Pi$

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$$4x_1x_2 = (x_1 + x_2)^2 - (x_1 - x_2)^2$$

Step 1: $\Sigma\Pi\Sigma\Pi \longrightarrow \Sigma\wedge\Sigma\Pi$

$$\begin{aligned} 24 x_1 x_2 x_3 &= (x_1 + x_2 + x_3)^3 \\ &\quad - (x_1 - x_2 + x_3)^3 \\ &\quad - (x_1 + x_2 - x_3)^3 \\ &\quad + (x_1 - x_2 - x_3)^3 \end{aligned}$$

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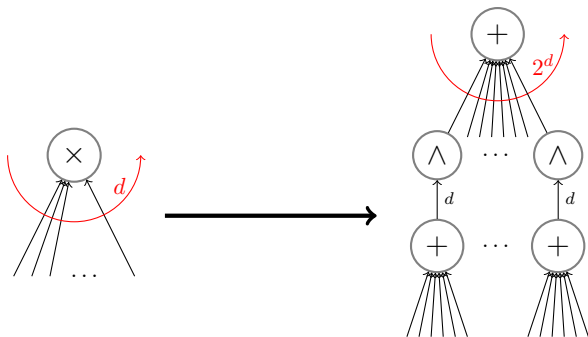
[Fischer]:

$$d! \cdot 2^{d-1} \cdot (x_1 x_2 \cdots x_d) = \sum_{S \subseteq [d] \setminus \{1\}} (-1)^{|S|} \left(\sum_{j \notin S} x_j - \sum_{j \in S} x_j \right)^d$$

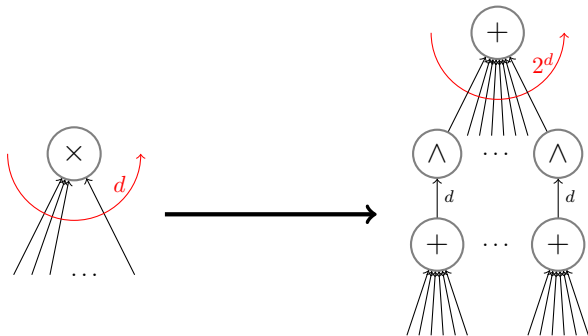
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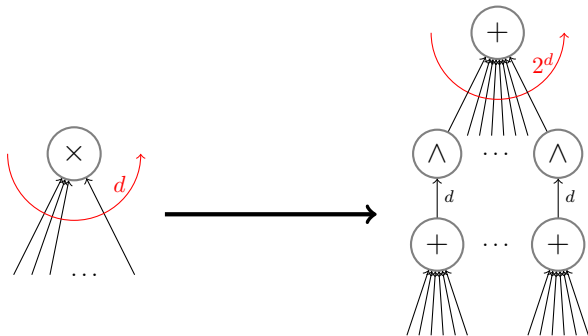


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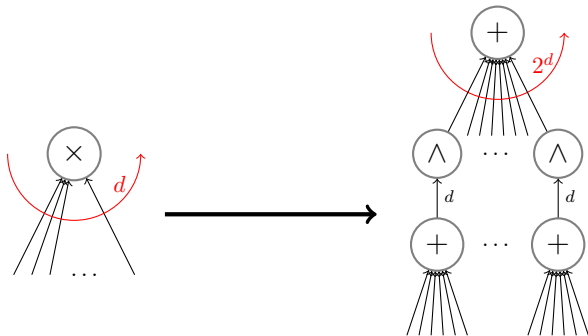
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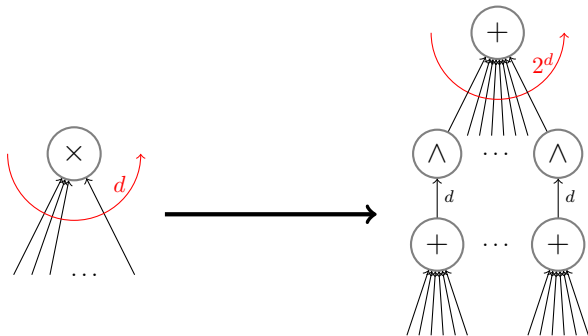
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$$\prod^d \longrightarrow \sum^{2^d} \wedge^d \Sigma^d$$

$$\Sigma \prod^{\sqrt{d}} \Sigma \prod^{\sqrt{d}} \text{ of size } s$$

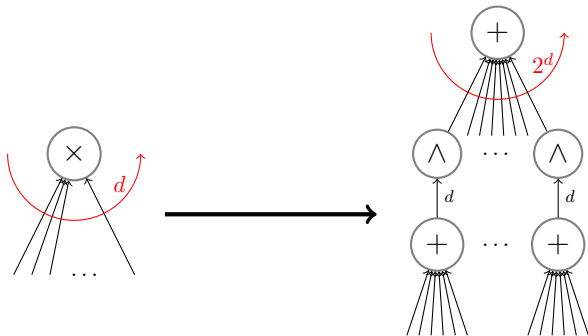
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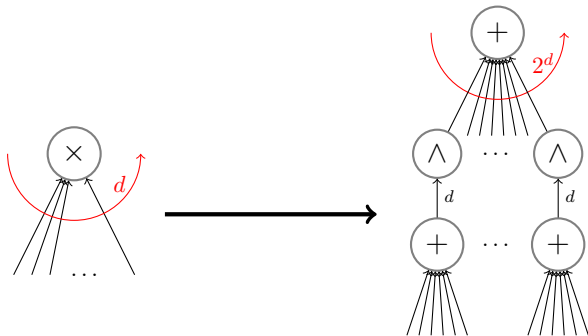
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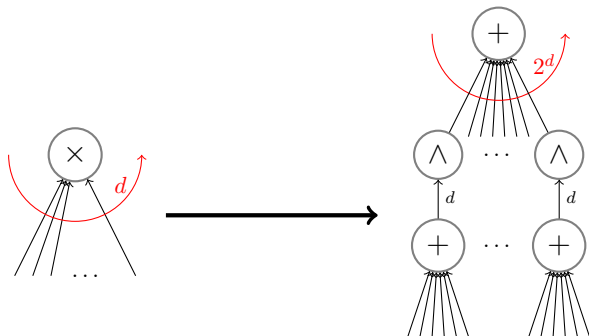
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Road map

general circuit
of size $n^{O(1)}$

[AV08], [Koi12], [Tav13]



$\sum \prod^{\sqrt{d}} \sum \prod^{\sqrt{d}}$
of size $n^{O(\sqrt{d})}$



$\sum \wedge^{\sqrt{d}} \sum \wedge^{\sqrt{d}} \sum$
of size $n^{O(\sqrt{d})}$

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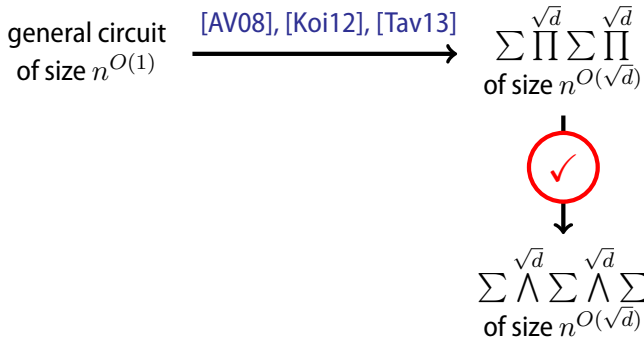


$\sum \prod^{\sqrt{d}} \sum \prod^{\sqrt{d}}$
of size $n^{O(\sqrt{d})}$



$\sum \wedge^{\sqrt{d}} \sum \wedge^{\sqrt{d}} \sum$
of size $n^{O(\sqrt{d})}$

Road map



\exists efficient reduction: $\sum \prod \sum \rightarrow \sum \wedge^{\sqrt{d}} \sum \wedge^{\sqrt{d}} \sum$

Road map

general circuit
of size $n^{O(1)}$ $\xrightarrow{[AV08], [Koi12], [Tav13]}$ $\sum \prod^{\sqrt{d}} \sum \prod^{\sqrt{d}}$
of size $n^{O(\sqrt{d})}$



$\sum \wedge^{\sqrt{d}} \sum \wedge^{\sqrt{d}} \sum$
of size $n^{O(\sqrt{d})}$

\exists efficient reduction: $\sum \prod^{\sqrt{d}} \sum \longrightarrow \sum \wedge^{\sqrt{d}} \sum \wedge^{\sqrt{d}} \sum$
 $n^{O(\sqrt{d})} \longrightarrow n^{O(\sqrt{d})}$

Road map

general circuit
of size $n^{O(1)}$ $\xrightarrow{[AV08], [Koi12], [Tav13]}$ $\sum \overset{\sqrt{d}}{\Pi} \sum \overset{\sqrt{d}}{\Pi}$
of size $n^{O(\sqrt{d})}$



$\sum \overset{\sqrt{d}}{\wedge} \sum \overset{\sqrt{d}}{\wedge} \sum$
of size $n^{O(\sqrt{d})}$

\exists efficient reduction: $\sum \overset{\sqrt{d}}{\Pi} \sum \overset{\sqrt{d}}{\Pi}$ \longrightarrow $\sum \overset{\sqrt{d}}{\wedge} \sum \overset{\sqrt{d}}{\wedge} \sum$
 $n^{O(\sqrt{d})}$ \longrightarrow $n^{O(\sqrt{d})}$

Can you: $\sum \overset{\sqrt{d}}{\wedge} \sum \overset{\sqrt{d}}{\wedge} \sum$ $\overset{?!}{\longrightarrow}$ $\sum \overset{\sqrt{d}}{\Pi} \sum \overset{\sqrt{d}}{\Pi}$

Step 2: $\Sigma \wedge \Sigma \wedge \Sigma \longrightarrow \Sigma \Pi \Sigma$

$$\Sigma \wedge^{\sqrt{d}} \Sigma \wedge^{\sqrt{d}} \Sigma$$

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$$\Sigma \overset{\sqrt{d}}{\wedge} \Sigma \overset{\sqrt{d}}{\wedge} \Sigma$$

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$$\ell^{\sqrt{d}}$$

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$$\ell_1^{\sqrt{d}} + \dots + \ell_s^{\sqrt{d}}$$

Step 2: $\Sigma \wedge \Sigma \wedge \Sigma \longrightarrow \Sigma \Pi \Sigma$

$$\Sigma \wedge^{\sqrt{d}} \Sigma \wedge^{\sqrt{d}} \Sigma$$

$$\left(\ell_1^{\sqrt{d}} + \dots + \ell_s^{\sqrt{d}} \right)^{\sqrt{d}}$$

Step 2: $\Sigma \wedge \Sigma \wedge \Sigma \longrightarrow \Sigma \Pi \Sigma$

$$\Sigma \overset{\sqrt{d}}{\wedge} \Sigma \overset{\sqrt{d}}{\wedge} \Sigma$$

$$\sum_i \left(\ell_{i1}^{\sqrt{d}} + \dots + \ell_{is}^{\sqrt{d}} \right)^{\sqrt{d}}$$

Step 2: $\Sigma \wedge \Sigma \wedge \Sigma \longrightarrow \Sigma \Pi \Sigma$

$$C = \sum_i \left(\ell_{i1}^{\sqrt{d}} + \dots + \ell_{is}^{\sqrt{d}} \right)^{\sqrt{d}}$$

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$$T = \left(\ell_1^{\sqrt{d}} + \cdots + \ell_s^{\sqrt{d}} \right)^{\sqrt{d}}$$

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Lemma ([Saxena])

There exists univariate polynomials f_{ij} 's of degree at most d such that

$$(x_1 + \cdots + x_s)^d = \sum_{i=1}^{sd+1} f_{i1}(x_1) \cdot f_{i2}(x_2) \cdots f_{is}(x_s)$$

Step 2: $\Sigma \wedge \Sigma \wedge \Sigma \longrightarrow \Sigma \Pi \Sigma$

$$T = \left(\ell_1^{\sqrt{d}} + \cdots + \ell_s^{\sqrt{d}} \right)^{\sqrt{d}}$$

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where $\tilde{f}_{ij}(t) := f_{ij}(t^{\sqrt{d}})$

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Note that $\tilde{f}_{ij}(t)$ is a **univariate** polynomial

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Note that $\tilde{f}_{ij}(t)$ is a **univariate** polynomial that can be factorized over \mathbb{C} :

$$\tilde{f}_{ij}(t) = \prod_{k=1}^d (t - \zeta_{ijk})$$

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... a $\Sigma \Pi \Sigma$ circuit of $\text{poly}(s, d)$ size.

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... a $\Sigma \Pi \Sigma$ circuit of $\text{poly}(s, d)$ size **and degree sd** .

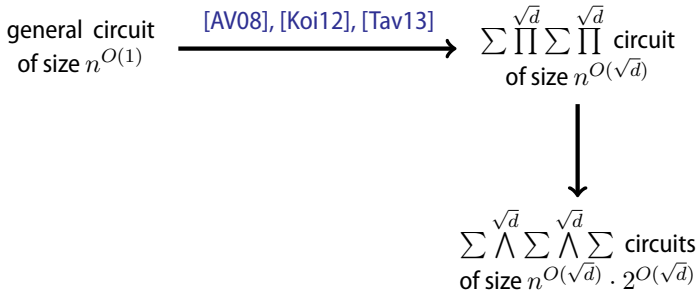
Putting it together

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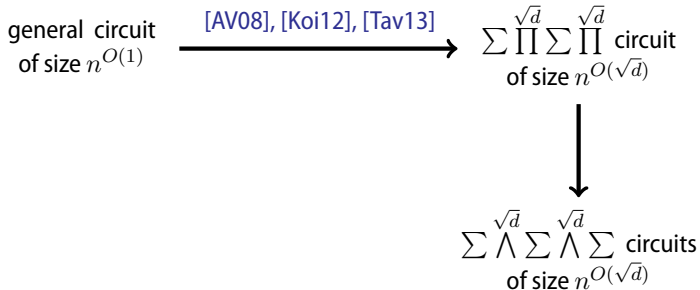
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general circuit
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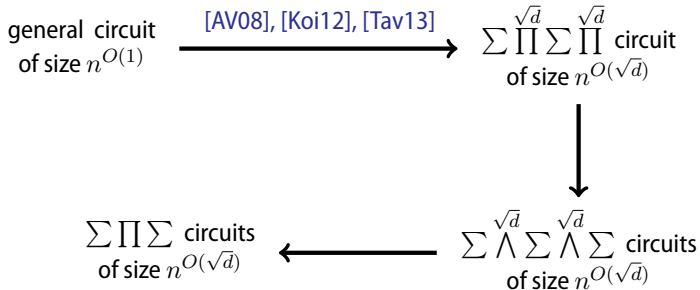
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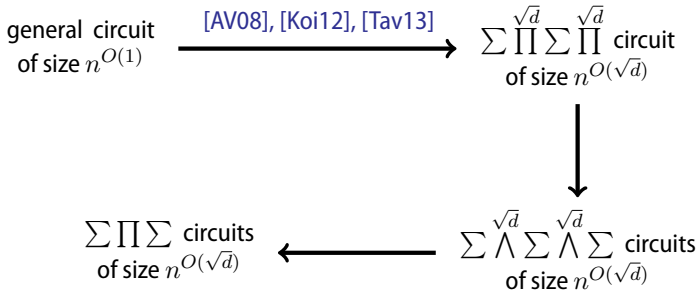
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Putting it together

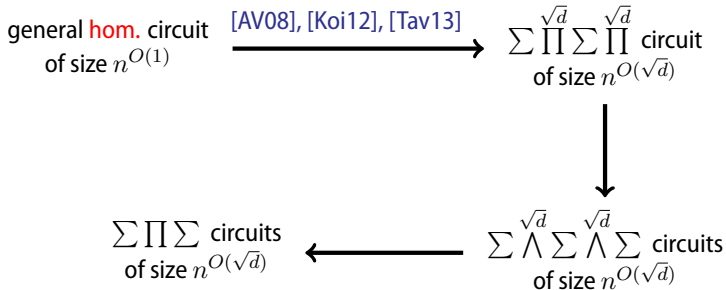


Putting it together



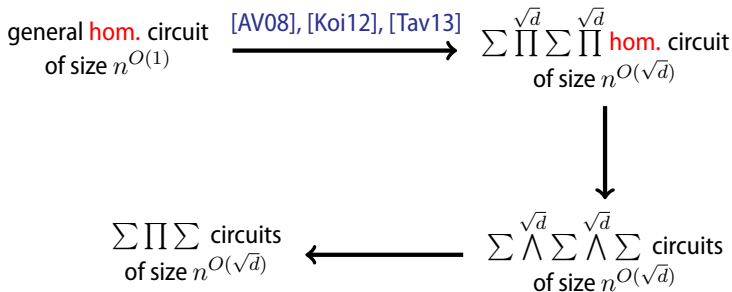
Question: Where should one try to prove lower bounds?

Putting it together



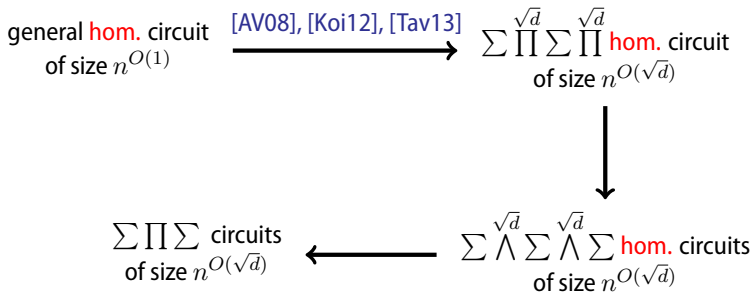
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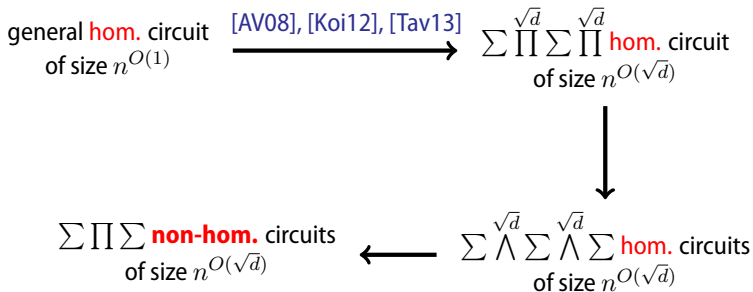
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Putting it together

general **hom.** circuit of size $n^{O(1)}$ $\xrightarrow{[AV08], [Koi12], [Tav13]}$ $\sum \prod^{\sqrt{d}} \sum \prod^{\sqrt{d}}$ **hom.** circuit of size $n^{O(\sqrt{d})}$

$\sum \prod \sum$ **non-hom.** circuits of size $n^{O(\sqrt{d})}$

$\sum \wedge^{\sqrt{d}} \sum \wedge^{\sqrt{d}} \sum$ **hom.** circuits of size $n^{O(\sqrt{d})}$

Question: Where should one try to prove lower bounds?

Suffices to show this:

Find an explicit $f(x_1, \dots, x_n)$ such that if

$$f(x_1, \dots, x_n) = Q_1^{\sqrt{d}} + \dots + Q_s^{\sqrt{d}}$$

where $\deg Q_i \leq \sqrt{d}$ for all i

then $s = n^{\omega(\sqrt{d})}$.

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Thank you!